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# Hyperchaos, adaptive control and synchronization of a novel 4-D hyperchaotic system with two quadratic nonlinearities

#### SUNDARAPANDIAN VAIDYANATHAN

This research work announces an eleven-term novel 4-D hyperchaotic system with two quadratic nonlinearities. We describe the qualitative properties of the novel 4-D hyperchaotic system and illustrate their phase portraits. We show that the novel 4-D hyperchaotic system has two unstable equilibrium points. The novel 4-D hyperchaotic system has the Lyapunov exponents  $L_1 = 3.1575$ ,  $L_2 = 0.3035$ ,  $L_3 = 0$  and  $L_4 = -33.4180$ . The Kaplan-Yorke dimension of this novel hyperchaotic system is found as  $D_{KY} = 3.1026$ . Since the sum of the Lyapunov exponents of the novel hyperchaotic system is negative, we deduce that the novel 4-D hyperchaotic system is dissipative. Next, an adaptive controller is designed to stabilize the novel 4-D hyperchaotic systems with unknown system parameters. Moreover, an adaptive controller is designed to achieve global hyperchaos synchronization of the identical novel 4-D hyperchaotic systems with unknown system parameters. The adaptive control results are established using Lyapunov stability theory. MATLAB simulations are depicted to illustrate all the main results derived in this research work.

Key words: chaos, hyperchaos, control, synchronization, Lyapunov exponents.

#### 1. Introduction

Chaos theory describes the qualitative study of unstable aperiodic behaviour in deterministic nonlinear dynamical systems. For the motion of a dynamical system to be chaotic, the system variables should contain nonlinear terms and it must satisfy three properties: boundedness, infinite recurrence and sensitive dependence on initial conditions [1, 2].

The Lyapunov exponent of a dynamical system is a quantity that characterizes the rate of separation of infinitesimally close trajectories. The sensitive dependence on initial conditions of a dynamical system is characterized by the presence of a positive Lyapunov exponent. A positive Lyapunov exponent reflects a direction of *stretching* and *folding* and along with phase-space compactness indicates the presence of chaos in a dynamical

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system. An *n*-dimensional dynamical system has a spectrum of *n* Lyapunov exponents and the *maximal Lyapunov exponent* (MLE) of a chaotic system is defined as the largest positive Lyapunov exponent of the system.

Chaos has developed over time. For example, Ruelle and Takens [3] proposed a theory for the onset of turbulence in fluids, based on abstract considerations about strange attractors. Later, May [4] found examples of chaos in iterated mappings arising in population biology. Feigenbaum [5] discovered that there are certain universal laws governing the transition from regular to chaotic behaviours. That is, completely different systems can go chaotic in the same way, thus, linking chaos and phase transitions.

The first famous chaotic system was accidentally discovered by Lorenz, when he was designing a 3-D model for atmospheric convection in 1963 [6]. Subsequently, Rössler discovered a 3-D chaotic system in 1976 [7], which is algebraically simpler than the Lorenz system. Indeed, Lorenz's system is a seven-term chaotic system with two quadratic nonlinearities, while Rössler's system is a seven-term chaotic system with just one quadratic nonlinearity.

Some well-known paradigms of 3-D chaotic systems are Arneodo system [8], Sprott systems [9], Chen system [10], Lü-Chen system [11], Liu system [12], Cai system [13], T-system [14], etc. Many new chaotic systems have been also discovered like Li system [15], Sundarapandian systems [16, 17], Vaidyanathan systems [18, 19, 20, 21, 22, 23, 24], Pehlivan system [25], Akgul system [26], Jafari system [27], Pham system [28, 29, 30, 31], Tacha system [32], etc.

Chaos theory has applications in several fields of science and engineering such as oscillators [33, 34], chemical reactions [35, 36], biology [37, 38], ecology [39, 40], neural networks [41, 42], gyros [43], Tokamak system [44, 45], neurology [46, 47, 48], circuits [49, 50], etc.

A hyperchaotic system is generally defined as a chaotic system with at least two positive Lyapunov exponents [1, 2]. Thus, the hyperchaotic systems have more complex dynamical behaviour and hence they have miscellaneous applications in engineering [1, 2].

The minimum dimension for an autonomous, continuous-time, hyperchaotic system is four. Since the discovery of a first 4-D hyperchaotic system by Rössler in 1979 [65], many 4-D hyperchaotic systems have been found in the literature such as hyperchaotic Lorenz system [66], hyperchaotic Lü system [67], hyperchaotic Chen system [68], hyperchaotic Wang system [69], hyperchaotic Newton-Leipnik system [70], hyperchaotic Vaidyanathan system [71, 72], etc.

The study of control of a chaotic system investigates methods for designing feedback control laws that globally or locally asymptotically stabilize or regulate the outputs of a chaotic system [73].

Chaos synchronization problem deals with the synchronization of a couple of systems called the master or drive system and the slave or response system. To solve this problem, control laws are designed so that the output of the slave system tracks the output of the master system asymptotically with time [73, 74]. www.czasopisma.pan.pl



Because of the butterfly effect, the synchronization of chaotic systems is a challenging problem in the chaos literature even when the initial conditions of the master and slave systems are nearly identical because of the exponential divergence of the outputs of the two systems in the absence of any control.

This research work announces an eleven-term novel 4-D hyperchaotic system with two quadratic nonlinearities. We describe the qualitative properties of the novel 4-D hyperchaotic system and illustrate their phase portraits. We show that the novel 4-D hyperchaotic system has two unstable equilibrium points.

We also show that the novel 4-D hyperchaotic system has the Lyapunov exponents  $L_1 = 3.1575$ ,  $L_2 = 0.3035$ ,  $L_3 = 0$  and  $L_4 = -33.4180$ . The Kaplan-Yorke dimension of this novel hyperchaotic system is found as  $D_{KY} = 3.1026$ . Since the sum of the Lyapunov exponents of the novel hyperchaotic system is negative, we deduce that the novel hyperchaotic system is dissipative.

Next, an adaptive controller is designed to stabilize the novel 4-D hyperchaotic system with unknown system parameters. Moreover, an adaptive controller is designed to achieve global hyperchaos synchronization of the identical novel 4-D hyperchaotic systems with unknown system parameters. The adaptive control results are established using Lyapunov stability theory [75]. MATLAB simulations are depicted to illustrate all the main results derived in this research work.

## 2. A novel 4-D hyperchaotic system

In this work, we propose a novel 4-D hyperchaotic system given by

In (1),  $x_1, x_2, x_3, x_4$  are the states and a, b, c, p are positive, constant, parameters.

In this work, we show that the 4-D system (1) is *hyperchaotic* when the parameter values are taken as

$$a = 24, \ b = 125, \ c = 5, \ p = 10$$
 (2)

Also, for these parameter values, the Lyapunov exponents of the novel 4-D system (1) are calculated as

$$L_1 = 3.1575, \ L_2 = 0.3035, \ L_3 = 0, \ L_4 = -33.4180$$
 (3)

Since there are two positive Lyapunov exponents in (3), it is immediate that the proposed novel 4-D system (1) is *hyperchaotic*.



Also, the maximal Lyapunov exponent (MLE) of the system (1) is obtained as  $L_1 = 3.1575$ , which is a large number. This shows the high complexity of the novel 4-D hyperchaotic system (1).

The system (1) is dissipative, because

$$L_1 + L_2 + L_3 + L_4 = -29.9570 < 0 \tag{4}$$

Also, the Kaplan-Yorke dimension of the 4-D hyperchaotic system (1) is found as

$$D_{KY} = 3 + \frac{L_1 + L_2 + L_3}{|L_4|} = 3.1036$$
(5)

which is fractional. Thus, the 4-D hyperchaotic system (1) has a strange attractor of fractional Kaplan-Yorke dimension.

For numerical simulations, we take the initial state of the hyperchaotic system (1) as

$$x_1(0) = 0.2, \ x_2(0) = 0.2, \ x_3(0) = 0.2, \ x_4(0) = 0.2$$
 (6)

Figs. 1-4 depict the 3-D phase portraits of the novel 4-D hyperchaotic system (1) in  $(x_1, x_2, x_3)$ ,  $(x_1, x_2, x_4)$ ,  $(x_1, x_3, x_4)$  and  $(x_2, x_3, x_4)$  spaces, respectively. From these figures, it is clear the novel 4-D hyperchaotic system (1) exhibits a *two-wing* attractor.



Figure 1: 3-D projection of the novel hyperchaotic system on  $(x_1, x_2, x_3)$  space



Figure 2: 3-D projection of the novel hyperchaotic system on  $(x_1, x_2, x_4)$  space



Figure 3: 3-D projection of the novel hyperchaotic system on  $(x_1, x_3, x_4)$  space





Figure 4: 3-D projection of the novel hyperchaotic system on  $(x_2, x_3, x_4)$  space

# 3. Analysis of the novel hyperchaotic system

# 3.1. Dissipativity

In vector notation, the novel 4-D hyperchaotic system (1) can be expressed as

$$\dot{\boldsymbol{x}} = f(\boldsymbol{x}) = \begin{bmatrix} f_1(x_1, x_2, x_3, x_4) \\ f_2(x_1, x_2, x_3, x_4) \\ f_3(x_1, x_2, x_3, x_4) \\ f_4(x_1, x_2, x_3, x_4) \end{bmatrix},$$
(7)

where

$$\begin{cases} f_1(x_1, x_2, x_3, x_4) &= a(x_2 - x_1) + x_4 \\ f_2(x_1, x_2, x_3, x_4) &= bx_1 - x_2 - x_1 x_3 \\ f_3(x_1, x_2, x_3, x_4) &= -x_1 - cx_3 + x_1 x_2 + x_4 \\ f_4(x_1, x_2, x_3, x_4) &= -px_2 \end{cases}$$

$$(8)$$

Let  $\Omega$  be any region in  $\Re^4$  with a smooth boundary and also,  $\Omega(t) = \Phi_t(\Omega)$ , where  $\Phi_t$  is the flow of f. Furthermore, let V(t) denote the volume of  $\Omega(t)$ .

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By Liouville's theorem, we know that

$$\dot{V}(t) = \int_{\Omega(t)} (\nabla \cdot f) dx_1 dx_2 dx_3 dx_4$$
(9)

The divergence of the novel 4-D system (1) is found as:

$$\nabla \cdot f = \frac{\partial f_1}{\partial x_1} + \frac{\partial f_2}{\partial x_2} + \frac{\partial f_3}{\partial x_3} + \frac{\partial f_1}{\partial x_4} = -a - 1 - c = -\mu$$
(10)

where  $\mu$  is defined as

$$\mu = a + 1 + c \tag{11}$$

For the choice of parameter values given in (2), we find that  $\mu = 30 > 0$ . Inserting the value of  $\nabla \cdot f$  from (10) into (9), we get

$$\dot{V}(t) = \int_{\Omega(t)} (-\mu) dx_1 dx_2 dx_3 dx_4 = -\mu V(t)$$
(12)

Integrating the first order linear differential equation (12), we get

$$V(t) = \exp(-\mu t)V(0) \tag{13}$$

Since  $\mu > 0$ , it follows from (13) that  $V(t) \rightarrow 0$  exponentially as  $t \rightarrow \infty$ . This shows that the novel 4-D hyperchaotic system (1) is dissipative. Hence, the system limit sets are ultimately confined into a specific limit set of zero volume, and the asymptotic motion of the novel 4-D hyperchaotic system (1) settles onto a strange attractor of the system.

#### 3.2. Equilibrium Points

The equilibrium points of the novel 4-D hyperchaotic system (1) are obtained by solving the equations

$$\begin{cases} f_1(x_1, x_2, x_3, x_4) &= a(x_2 - x_1) + x_4 &= 0\\ f_2(x_1, x_2, x_3, x_4) &= cx_1 - x_2 - x_1 x_3 &= 0\\ f_3(x_1, x_2, x_3, x_4) &= -x_1 - cx_3 + x_1 x_2 + x_4 &= 0\\ f_4(x_1, x_2, x_3, x_4) &= -px_2 &= 0 \end{cases}$$
(14)

We take the parameter values as in the equation (2).

Solving the system (14), we obtain two equilibrium points of the system (1) given by

$$E_0 = \begin{bmatrix} 0\\0\\0\\0\\0 \end{bmatrix}, \quad E_1 = \begin{bmatrix} 27.1739\\0.0000\\125.0000\\652.1739 \end{bmatrix}.$$
(15)



The Jacobian matrix of the 4-D hyperchaotic system (1) at any point  $\mathbf{x} \in \Re^4$  is given by

$$J(\mathbf{x}) = \begin{bmatrix} -a & a & 0 & 1 \\ b - x_3 & -1 & -x_1 & 0 \\ -1 + x_2 & x_1 & -c & 1 \\ 0 & -p & 0 & 0 \end{bmatrix} = \begin{bmatrix} -24 & 24 & 0 & 1 \\ 125 - x_3 & -1 & -x_1 & 0 \\ -1 + x_2 & x_1 & -5 & 1 \\ 0 & -10 & 0 & 0 \end{bmatrix}$$
(16)

The Jacobian matrix of the system (1) at  $E_0$  is found as

$$J_0 = J(E_0) = \begin{bmatrix} -24 & 24 & 0 & 1\\ 125 & -1 & 0 & 0\\ -1 & 0 & -5 & 1\\ 0 & -10 & 0 & 0 \end{bmatrix}$$
(17)

The eigenvalues of the matrix  $J_0$  are numerically obtained as

$$\lambda_1 = -5, \ \lambda_2 = -68.6290, \ \lambda_3 = 0.4215, \ \lambda_4 = 43.2074$$
 (18)

Thus, the equilibrium point  $E_0$  is a saddle-point, which is unstable. Next, the Jacobian matrix of the system (1) at  $E_1$  is found as

$$J_1 = J(E_1) = \begin{bmatrix} -24 & 24 & 0 & 1\\ 125 & -1 & 0 & 0\\ -1 & 0 & -5 & 1\\ 0 & -10 & 0 & 0 \end{bmatrix}$$
(19)

The eigenvalues of the matrix  $J_1$  are numerically obtained as

$$\lambda_1 = 0.3624, \ \lambda_2 = -23.4294, \ \lambda_{3,4} = -3.4665 \pm 26.9067i \tag{20}$$

Thus, the equilibrium point  $E_1$  is a saddle-focus, which is also unstable.

#### 3.3. Symmetry

It is easy to see that the novel 4-D hyperchaotic system (1) is invariant under the coordinates transformation

$$(x_1, x_2, x_3, x_4) \mapsto (-x_1, -x_2, x_3, -x_4)$$
 (21)

which shows that the novel system (1) has rotation symmetry about the  $x_3$  axis. As a consequence, it follows that any non-trivial trajectory of the system (1) must have a twin trajectory.





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#### 3.4. Invariance

It is easy to see that the  $x_3$ -axis is invariant under the flow of the novel 4-D hyperchaotic system (1). The invariant motion along the  $x_3$ -axis is characterized by the scalar dynamics

$$\dot{x}_3 = -cx_3, \quad (c > 0)$$
 (22)

which is globally exponentially stable.

#### 3.5. Lyapunov exponents and Kaplan-Yorke dimension

For the parameter values given in the equation (2), the Lyapunov exponents of the novel 4-D hyperchaotic system (1) are calculated as

$$L_1 = 3.1575, \ L_2 = 0.3035, \ L_3 = 0, \ L_4 = -33.4180$$
 (23)

Thus, the novel 4-D hyperchaotic system (1) has two positive Lyapunov exponents. Also, the maximal Lyapunov exponent (MLE) of the system (1) is obtained as  $L_1 = 3.1575$ , which is a large value. This shows the high complexity of the novel 4-D hyperchaotic system (1).

Also, the Kaplan-Yorke dimension of the novel hyperchaotic system (1) is obtained as

$$D_{KY} = 3 + \frac{L_1 + L_2 + L_3}{|L_4|} = 3.1036 \tag{24}$$

which is fractional.

Since the novel 4-D hyperchaotic system (1) has two positive Lyapunov exponents, it has a very complex dynamics and the system trajectories can expand in two different directions.

#### 4. Adaptive control of the novel hyperchaotic system with unknown parameters

In this section, we use adaptive control method to derive an adaptive feedback control law for globally stabilizing the novel 4-D hyperchaotic system with unknown parameters.

Thus, we consider the novel 4-D hyperchaotic system given by

$$\begin{cases}
\dot{x}_1 = a(x_2 - x_1) + x_4 + u_1 \\
\dot{x}_2 = bx_1 - x_2 - x_1 x_3 + u_2 \\
\dot{x}_3 = -x_1 - cx_3 + x_1 x_2 + x_4 + u_3 \\
\dot{x}_4 = -px_2 + u_4
\end{cases}$$
(25)

In (25),  $x_1, x_2, x_3, x_4$  are the states and  $u_1, u_2, u_3, u_4$  are the adaptive controls to be determined using estimates  $\hat{a}(t), \hat{b}(t), \hat{c}(t), \hat{p}(t)$  for the unknown parameters a, b, c, p, respectively.

We consider the adaptive feedback control law

$$\begin{cases}
 u_1 = -\hat{a}(t)(x_2 - x_1) - x_4 - k_1 x_1 \\
 u_2 = -\hat{b}(t)x_1 + x_2 + x_1 x_3 - k_2 x_2 \\
 u_3 = x_1 + \hat{c}(t)x_3 - x_1 x_2 - x_4 - k_3 x_3 \\
 u_4 = \hat{p}(t)x_2 - k_4 x_4
 \end{cases}$$
(26)

where  $k_1, k_2, k_3, k_4$  are positive gain constants.

Substituting (26) into (25), we get the closed-loop plant dynamics as

$$\begin{cases} \dot{x}_{1} = [a - \hat{a}(t)](x_{2} - x_{1}) - k_{1}x_{1} \\ \dot{x}_{2} = [b - \hat{b}(t)]x_{1} - k_{2}x_{2} \\ \dot{x}_{3} = -[c - \hat{c}(t)]x_{3} - k_{3}x_{3} \\ \dot{x}_{4} = -[p - \hat{p}(t)]x_{2} - k_{4}x_{4} \end{cases}$$
(27)

The parameter estimation errors are defined as

$$\begin{cases}
e_a(t) = a - \hat{a}(t) \\
e_b(t) = b - \hat{b}(t) \\
e_c(t) = c - \hat{c}(t) \\
e_p(t) = p - \hat{p}(t)
\end{cases}$$
(28)

In view of (28), we can simplify the plant dynamics (27) as

$$\begin{cases}
\dot{x}_1 = e_a(x_2 - x_1) - k_1 x_1 \\
\dot{x}_2 = e_b x_1 - k_2 x_2 \\
\dot{x}_3 = -e_c x_3 - k_3 x_3 \\
\dot{x}_4 = -e_p x_2 - k_4 x_4
\end{cases}$$
(29)

Differentiating (28) with respect to t, we obtain

$$\begin{aligned}
\dot{e}_{a}(t) &= -\dot{a}(t) \\
\dot{e}_{b}(t) &= -\dot{b}(t) \\
\dot{e}_{c}(t) &= -\dot{c}(t) \\
\dot{e}_{p}(t) &= -\dot{p}(t)
\end{aligned}$$
(30)

We use adaptive control theory to find an update law for the parameter estimates. We consider the quadratic candidate Lyapunov function defined by

$$V(\mathbf{x}, e_a, e_b, e_c, e_p) = \frac{1}{2} \sum_{i=1}^{4} x_i^2 + \frac{1}{2} \left( e_a^2 + e_b^2 + e_c^2 + e_p^2 \right)$$
(31)

Differentiating V along the trajectories of (29) and (30), we obtain

$$\dot{V} = -k_1 x_1^2 - k_2 x_2^2 - k_3 x_3^2 - k_4 x_4^2 + e_a \left[ x_1 (x_2 - x_1) - \dot{a} \right] + e_b \left[ x_1 x_2 - \dot{b} \right] + e_c \left[ -x_3^2 - \dot{c} \right] + e_p \left[ -x_2 x_4 - \dot{p} \right]$$
(32)

In view of (32), we take the parameter update law as

$$\begin{cases} \dot{a}(t) = x_1(x_2 - x_1) \\ \dot{b}(t) = x_1 x_2 \\ \dot{c}(t) = -x_3^2 \\ \dot{p}(t) = -x_2 x_4 \end{cases}$$
(33)

Next, we state and prove the main result of this section.

**Theorem 1** The novel 4-D hyperchaotic system (25) with unknown system parameters is globally and exponentially stabilized for all initial conditions by the adaptive control law (26) and the parameter update law (33), where  $k_1, k_2, k_3, k_4$  are positive gain constants.

**Proof** We prove this result by applying Lyapunov stability theory [75].

We consider the quadratic Lyapunov function defined by (31), which is clearly a positive definite function on  $\Re^8$ .

By substituting the parameter update law (33) into (32), we obtain the time-derivative of V as

$$\dot{V} = -k_1 x_1^2 - k_2 x_2^2 - k_3 x_3^2 - k_4 x_4^2 \tag{34}$$

From (34), it is clear that  $\dot{V}$  is a negative semi-definite function on  $\Re^8$ .

Thus, we can conclude that the state vector  $\mathbf{x}(t)$  and the parameter estimation error are globally bounded, *i.e.* 

$$\begin{bmatrix} x_1(t) & x_2(t) & x_3(t) & x_4(t) & e_a(t) & e_b(t) & e_c(t) & e_p(t) \end{bmatrix}^T \in \mathcal{I}_{\infty}.$$

We define  $k = \min\{k_1, k_2, k_3, k_4\}$ . Then it follows from (34) that

$$\dot{V} \leqslant -k \|\boldsymbol{x}(t)\|^2 \tag{35}$$



Thus, we have

$$k\|\boldsymbol{x}(t)\|^2 \leqslant -\dot{V} \tag{36}$$

Integrating the inequality (36) from 0 to t, we get

$$k \int_{0}^{t} \|\boldsymbol{x}(\tau)\|^{2} d\tau \leq V(0) - V(t)$$
(37)

From (37), it follows that  $\boldsymbol{x} \in \mathcal{L}_2$ .

Using (29), we can conclude that  $\dot{\mathbf{x}} \in \mathcal{L}_{\infty}$ .

Using Barbalat's lemma [75], we conclude that  $\mathbf{x}(t) \to 0$  exponentially as  $t \to \infty$  for all initial conditions  $\mathbf{x}(0) \in \Re^4$ .

This completes the proof.

For the numerical simulations, the classical fourth-order Runge-Kutta method with step size  $h = 10^{-8}$  is used to solve the systems (25) and (33), when the adaptive control law (26) is applied.

The parameter values of the novel 4-D hyperchaotic system (25) are taken as in the hyperchaotic case, *viz*.

$$a = 24, \ b = 125, \ c = 5, \ p = 10$$
 (38)

We take the positive gain constants as

$$k_1 = 5, \ k_2 = 5, \ k_3 = 5, \ k_4 = 5$$
 (39)

As initial conditions of the novel 4-D hyperchaotic system (25), we take

$$x_1(0) = 12.7, \ x_2(0) = 3.8, \ x_3(0) = 9.5, \ x_4(0) = 6.2$$
 (40)

Also, as initial conditions of the parameter estimates, we take

$$\hat{a}(0) = 1.5, \ \hat{b}(0) = 4.9, \ \hat{c}(0) = 2.7, \ \hat{p}(0) = 5.4$$
 (41)

In Fig. 5, the exponential convergence of the controlled states of the novel 4-D hyperchaotic system (25) is depicted.

# 5. Adaptive synchronization of the novel hyperchaotic systems with unknown parameters

In this section, we use adaptive control method to derive an adaptive feedback control law for globally synchronizing identical novel 4-D hyperchaotic systems with unknown parameters.



Figure 5: Time-history of the controlled states  $x_1(t), x_2(t), x_3(t), x_4(t)$ 

As the master system, we consider the novel 4-D hyperchaotic system given by

$$\begin{cases}
\dot{x}_1 = a(x_2 - x_1) + x_4 \\
\dot{x}_2 = bx_1 - x_2 - x_1 x_3 \\
\dot{x}_3 = -x_1 - cx_3 + x_1 x_2 + x_4 \\
\dot{x}_4 = -px_2
\end{cases}$$
(42)

In (42),  $x_1, x_2, x_3, x_4$  are the states and a, b, c, p are unknown system parameters. As the slave system, we consider the novel 4-D hyperchaotic system given by

$$\begin{cases} \dot{y}_1 = a(y_2 - y_1) + y_4 + u_1 \\ \dot{y}_2 = by_1 - y_2 - y_1 y_3 + u_2 \\ \dot{y}_3 = -y_1 - cy_3 + y_1 y_2 + y_4 + u_3 \\ \dot{y}_4 = -py_2 + u_4 \end{cases}$$
(43)

In (43),  $y_1, y_2, y_3, y_4$  are the states and  $u_1, u_2, u_3, u_4$  are the adaptive controls to be determined using estimates  $\hat{a}(t), \hat{b}(t), \hat{c}(t), \hat{p}(t)$  for the unknown parameters a, b, c, p, respectively.



The synchronization error between the novel 4-D hyperchaotic systems (42) and (43) is defined by

$$\begin{cases}
e_1 = y_1 - x_1 \\
e_2 = y_2 - x_2 \\
e_3 = y_3 - x_3 \\
e_4 = y_4 - x_4
\end{cases}$$
(44)

Then the synchronization error dynamics is obtained as

$$\begin{cases} \dot{e}_1 = a(e_2 - e_1) + e_4 + u_1 \\ \dot{e}_2 = be_1 - e_2 - y_1 y_3 + x_1 x_3 + u_2 \\ \dot{e}_3 = -e_1 - ce_3 + e_4 + y_1 y_2 - x_1 x_2 + u_3 \\ \dot{e}_4 = -pe_2 + u_4 \end{cases}$$
(45)

We consider the adaptive feedback control law

$$\begin{cases}
 u_1 = -\hat{a}(t)(e_2 - e_1) - e_4 - k_1 e_1 \\
 u_2 = -\hat{b}(t)e_1 + e_2 + y_1 y_3 - x_1 x_3 - k_2 e_2 \\
 u_3 = e_1 + \hat{c}(t)e_3 - e_4 - y_1 y_2 + x_1 x_2 - k_3 e_3 \\
 u_4 = \hat{p}(t)e_2 - k_4 e_4
 \end{cases}$$
(46)

where  $k_1, k_2, k_3, k_4$  are positive gain constants.

Substituting (26) into (45), we get the closed-loop error dynamics as

$$\begin{cases} \dot{e}_{1} = [a - \hat{a}(t)](e_{2} - e_{1}) - k_{1}e_{1} \\ \dot{e}_{2} = [b - \hat{b}(t)]e_{1} - k_{2}e_{2} \\ \dot{e}_{3} = -[c - \hat{c}(t)]e_{3} - k_{3}e_{3} \\ \dot{e}_{4} = -[p - \hat{p}(t)]e_{2} - k_{4}e_{4} \end{cases}$$

$$(47)$$

The parameter estimation errors are defined as

$$\begin{cases}
e_a(t) = a - \hat{a}(t) \\
e_b(t) = b - \hat{b}(t) \\
e_c(t) = c - \hat{c}(t) \\
e_p(t) = p - \hat{p}(t)
\end{cases}$$
(48)



In view of (48), we can simplify the plant dynamics (47) as

$$\begin{cases}
\dot{e}_1 = e_a(e_2 - e_1) - k_1 e_1 \\
\dot{e}_2 = e_b e_1 - k_2 e_2 \\
\dot{e}_3 = -e_c e_3 - k_3 e_3 \\
\dot{e}_4 = -e_p e_2 - k_4 e_4
\end{cases}$$
(49)

Differentiating (48) with respect to t, we obtain

$$\begin{aligned}
\dot{e}_{a}(t) &= -\dot{a}(t) \\
\dot{e}_{b}(t) &= -\dot{b}(t) \\
\dot{e}_{c}(t) &= -\dot{c}(t) \\
\dot{e}_{p}(t) &= -\dot{p}(t)
\end{aligned}$$
(50)

We use adaptive control theory to find an update law for the parameter estimates. We consider the quadratic candidate Lyapunov function defined by

$$V(\boldsymbol{e}, e_a, e_b, e_c, e_p) = \frac{1}{2} \sum_{i=1}^{4} e_i^2 + \frac{1}{2} \left( e_a^2 + e_b^2 + e_c^2 + e_p^2 \right)$$
(51)

Differentiating V along the trajectories of (49) and (50), we obtain

$$\dot{V} = -k_1 e_1^2 - k_2 e_2^2 - k_3 e_3^2 - k_4 e_4^2 + e_a \left[ e_1 (e_2 - e_1) - \dot{a} \right] + e_b \left[ e_1 e_2 - \dot{b} \right] + e_c \left[ -e_3^2 - \dot{c} \right] + e_p \left[ -e_2 e_4 - \dot{p} \right]$$
(52)

In view of (52), we take the parameter update law as

Next, we state and prove the main result of this section.

**Theorem 2** The novel 4-D hyperchaotic systems (42) and (43) with unknown system parameters are globally and exponentially synchronized for all initial conditions by the adaptive control law (46) and the parameter update law (53), where  $k_1, k_2, k_3, k_4$  are positive gain constants.



**Proof** We prove this result by applying Lyapunov stability theory [75].

We consider the quadratic Lyapunov function defined by (51), which is clearly a positive definite function on  $\Re^8$ .

By substituting the parameter update law (53) into (52), we obtain the time-derivative of V as

$$\dot{V} = -k_1 e_1^2 - k_2 e_2^2 - k_3 e_3^2 - k_4 e_4^2 \tag{54}$$

From (54), it is clear that  $\dot{V}$  is a negative semi-definite function on  $\Re^8$ .

Thus, we can conclude that the error vector  $\boldsymbol{e}(t)$  and the parameter estimation error are globally bounded, *i.e.* 

$$\begin{bmatrix} e_1(t) & e_2(t) & e_3(t) & e_4(t) & e_a(t) & e_b(t) & e_c(t) & e_p(t) \end{bmatrix}^T \in \mathcal{I}_{\infty}.$$

We define  $k = \min\{k_1, k_2, k_3, k_4\}$ . Then it follows from (54) that

$$\dot{V} \leqslant -k \|\boldsymbol{e}(t)\|^2 \tag{55}$$

Thus, we have

$$k\|\boldsymbol{e}(t)\|^2 \leqslant -\dot{V} \tag{56}$$

Integrating the inequality (56) from 0 to t, we get

$$k \int_{0}^{t} \|\boldsymbol{e}(\tau)\|^{2} d\tau \leq V(0) - V(t)$$
(57)

From (57), it follows that  $\boldsymbol{e} \in \mathcal{L}_2$ .

Using (49), we can conclude that  $\dot{\boldsymbol{e}} \in \mathcal{L}_{\infty}$ .

Using Barbalat's lemma [75], we conclude that  $\boldsymbol{e}(t) \to 0$  exponentially as  $t \to \infty$  for all initial conditions  $\boldsymbol{e}(0) \in \Re^4$ .

This completes the proof.

For the numerical simulations, the classical fourth-order Runge-Kutta method with step size  $h = 10^{-8}$  is used to solve the systems (42), (43) and (53), when the adaptive control law (46) is applied.

The parameter values of the novel 4-D hyperchaotic systems are taken as in the hyperchaotic case, *viz*.

$$a = 24, \ b = 125, \ c = 5, \ p = 10$$
 (58)

We take the positive gain constants as  $k_i = 5$  for i = 1, ..., 4. Furthermore, as initial conditions of the master system (42), we take

$$x_1(0) = 5.1, \ x_2(0) = -3.8, \ x_3(0) = 4.8, \ x_4(0) = 7.6$$



As initial conditions of the slave system (43), we take

$$y_1(0) = -7.4, y_2(0) = 13.5, y_3(0) = 9.2, y_4(0) = -2.3$$

Also, as initial conditions of the parameter estimates, we take

$$\hat{a}(0) = 10.1, \ \hat{b}(0) = 22.4, \ \hat{c}(0) = 14.7, \ \hat{p}(0) = 12.8$$

Figs. 6-9 describe the complete synchronization of the 4-D novel hyperchaotic systems (42) and (43), while Fig. 10 describes the time-history of the synchronization errors  $e_1, e_2, e_3, e_4$ .



Figure 6: Synchronization of the states  $x_1$  and  $y_1$  of the novel hyperchaotic systems

## 6. Conclusion

In this research work, we described an eleven-term novel 4-D hyperchaotic system with two quadratic nonlinearities. We described the qualitative properties of the novel 4-D hyperchaotic system and depicted their phase portraits. We pointed out that the novel 4-D hyperchaotic system has a *two-wing* attractor. We showed that the novel 4-D hyperchaotic system has two unstable equilibrium points. We calculated the Lyapunov exponents and Kaplan-Yorke dimension of the novel hyperchaotic system. Next,we derived new results for the adaptive control and synchronization of the novel hyperchaotic system with unknown parameters. MATLAB simulations have been shown to demonstrate all the main results derived in this research work.





Figure 7: Synchronization of the states  $x_2$  and  $y_2$  of the novel hyperchaotic systems



Figure 8: Synchronization of the states  $x_3$  and  $y_3$  of the novel hyperchaotic systems





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Figure 9: Synchronization of the states  $x_4$  and  $y_4$  of the novel hyperchaotic systems



Figure 10: Time-history of the synchronization errors  $e_1, e_2, e_3, e_4, e_5$ 



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